**DE-NOTIFICATION LETTER**

NOTIFICATION LETTER FROM THE AIFM TO THE COMPETENT AUTHORITIES OF ITS HOME MEMBER STATE TO DE-NOTIFY THE ARRANGEMENTS MADE TO MARKET UNITS OR SHARES OF AN AIF IN A MEMBER STATE OTHER THAN THE HOME MEMBER STATE OF THE AIFM IN ACCORDANCE WITH ARTICLE 32a(2) OF DIRECTIVE 2011/61/EU (AIFMD ([[1]](#footnote-1))

IN\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*the host Member State(s)*)

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PART 1

**Information on the AIFM or internally managed AIF**

|  |  |
| --- | --- |
| Information on the AIFM or internally managed AIF | |
| AIFM or internally managed AIF (\*) |  |
| AIFM or internally managed AIF LEI (\*) |  |
| National identification code of the AIFM or internally managed AIF (where available) (\*) |  |
| AIFM’s or internally managed AIF’s home Member State (\*) |  |
| Address and, where different from address, registered office/domicile |  |
| (\*) This field should always be filled-in. | |

|  |  |
| --- | --- |
| Contact details of the department (or contact point) responsible for the de-notification letter within the AIFM or internally managed AIF | |
| Department (or contact point) |  |
| Telephone number |  |
| Email address |  |

|  |  |
| --- | --- |
| Details of the third party (where the AIFM or internally managed AIF designates a third party to make the notification) | |
| Third party |  |
| Address and, where different from address, registered office/domicile |  |
| Department (or contact point) |  |
| Telephone number |  |
| Email address |  |

PART 2

**Information on the AIF to be de-notified**

*Please fill the table below with information for the AIF you intend to de-notify for marketing in the host Member State. Where an AIF takes the form of an umbrella AIF with several compartments or sub-funds, references to the AIF in the table below shall be understood as referring to the compartment or sub-fund to be de-notified in the host Member State and not to the umbrella AIF.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Name of the AIF to be de-notified | AIF’s home Member State | Legal form of the AIF (¹) | LEI of the AIF (where available) | AIF national identification code (where available) | Effective date of the de-notification of marketing |
|  |  |  |  |  |  |

**Information on de-notification of share classes only**

*Please fill the table below with information where only some share classes are being de-notify for marketing in the host Member State. Please provide share class (name and ISIN) on each line.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the AIF | AIF’s home Member State | Legal form of the AIF (¹) | LEI of the AIF (where available) | Name of each share class of the AIF to be de-notified | ISIN of the AIF/of each share class of the AIF (where available) | AIF national identification code (where available) | Effective date of the de-notification of marketing |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

PART 3

**De-notification of arrangements**

NOTE: Where the de-notification concerns closed-ended AIFs or ELTIFs, Section 1 does not have to be filled out. Where the de-notification relates to a fund event, e.g. termination, liquidation or merger, please provide details in Section 4, Other information.

**Section 1. Blanket offer to repurchase or redeem units or shares of AIFs**

Does the de-notified AIF have investors in the host Member State(s)?

Yes

No (no further information need to be filled out in this section.)

Information not available

The AIFM confirms that a blanket offer is made to repurchase of redeem all units or shares in the AIF held by investors in that Member State(s), as described in article 32a(1)(a)?

Yes

No

The blanket offer has been made available to investors by:

the AIFM that manages the AIF or the internally managed AIF

financial intermediaries

**Section 2. Termination of arrangements for marketing of units or shares**

The AIFM will make public its intention to terminate marketing arrangements through the following publicly available medium, as required article 32a(1)(b):

|  |
| --- |
|  |

The AIFM has terminated or modified any contractual arrangements with financial intermediaries or delegates as of the date of de-notification to prevent new or further offering of units or shares in the de-notified AIF or share class, as set out in article 32a(1)(c):

Yes

No

**Section 3. Ongoing investor information**

The AIFM confirms that where there remain investors in the AIF or share class to be de-notified in the host Member Country, the AIFM will comply with article 32a(4) and provide the required information?

Yes

No

**Section 4. Other information**

|  |
| --- |
|  |

|  |  |
| --- | --- |
| Date |  |
| Name and capacity of the signatory |  |
| Signature |  |

1. This notification letter should also be used for AIF that also qualify as European Long Term Investment Fund and are marketed under Article 31(2) of Regulation (EU) 2015/760 in conjunction with Article 32(2) of Directive 2011/61/EU. [↑](#footnote-ref-1)