**DE-NOTIFICATION LETTER**

NOTIFICATION LETTER FROM THE AIFM TO THE COMPETENT AUTHORITIES OF ITS HOME MEMBER STATE TO DE-NOTIFY THE ARRANGEMENTS MADE TO MARKET UNITS OR SHARES OF AN AIF IN A MEMBER STATE OTHER THAN THE HOME MEMBER STATE OF THE AIFM IN ACCORDANCE WITH ARTICLE 32a(2) OF DIRECTIVE 2011/61/EU (AIFMD ([[1]](#footnote-1))

IN\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*the host Member State(s)*)

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PART 1

**Information on the AIFM or internally managed AIF**

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| Information on the AIFM or internally managed AIF |
| AIFM or internally managed AIF (\*) |  |
| AIFM or internally managed AIF LEI (\*) |  |
| National identification code of the AIFM or internally managed AIF (where available) (\*) |  |
| AIFM’s or internally managed AIF’s home Member State (\*) |  |
| Address and, where different from address, registered office/domicile |  |
| (\*) This field should always be filled-in. |

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| Contact details of the department (or contact point) responsible for the de-notification letter within the AIFM or internally managed AIF |
| Department (or contact point) |  |
| Telephone number |  |
| Email address |  |

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| Details of the third party (where the AIFM or internally managed AIF designates a third party to make the notification) |
| Third party |  |
| Address and, where different from address, registered office/domicile |  |
| Department (or contact point) |  |
| Telephone number |  |
| Email address |  |

PART 2

**Information on the AIF to be de-notified**

*Please fill the table below with information for the AIF you intend to de-notify for marketing in the host Member State. Where an AIF takes the form of an umbrella AIF with several compartments or sub-funds, references to the AIF in the table below shall be understood as referring to the compartment or sub-fund to be de-notified in the host Member State and not to the umbrella AIF.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Name of the AIF to be de-notified  | AIF’s home Member State | Legal form of the AIF (¹) | LEI of the AIF (where available) | AIF national identification code (where available) | Effective date of the de-notification of marketing  |
|  |  |  |  |  |  |

**Information on de-notification of share classes only**

*Please fill the table below with information where only some share classes are being de-notify for marketing in the host Member State. Please provide share class (name and ISIN) on each line.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the AIF  | AIF’s home Member State | Legal form of the AIF (¹) | LEI of the AIF (where available) | Name of each share class of the AIF to be de-notified | ISIN of the AIF/of each share class of the AIF (where available) | AIF national identification code (where available) | Effective date of the de-notification of marketing  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

PART 3

**De-notification of arrangements**

NOTE: Where the de-notification concerns closed-ended AIFs or ELTIFs, Section 1 does not have to be filled out. Where the de-notification relates to a fund event, e.g. termination, liquidation or merger, please provide details in Section 4, Other information.

**Section 1. Blanket offer to repurchase or redeem units or shares of AIFs**

Does the de-notified AIF have investors in the host Member State(s)?

[ ]  Yes

[ ]  No (no further information need to be filled out in this section.)

[ ]  Information not available

The AIFM confirms that a blanket offer is made to repurchase of redeem all units or shares in the AIF held by investors in that Member State(s), as described in article 32a(1)(a)?

[ ]  Yes

[ ]  No

The blanket offer has been made available to investors by:

[ ]  the AIFM that manages the AIF or the internally managed AIF

[ ]  financial intermediaries

**Section 2. Termination of arrangements for marketing of units or shares**

The AIFM will make public its intention to terminate marketing arrangements through the following publicly available medium, as required article 32a(1)(b):

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|  |

The AIFM has terminated or modified any contractual arrangements with financial intermediaries or delegates as of the date of de-notification to prevent new or further offering of units or shares in the de-notified AIF or share class, as set out in article 32a(1)(c):

[ ]  Yes

[ ]  No

**Section 3. Ongoing investor information**

The AIFM confirms that where there remain investors in the AIF or share class to be de-notified in the host Member Country, the AIFM will comply with article 32a(4) and provide the required information?

[ ]  Yes

[ ]  No

**Section 4. Other information**

|  |
| --- |
|  |

|  |  |
| --- | --- |
| Date |  |
| Name and capacity of the signatory |  |
| Signature |  |

1. This notification letter should also be used for AIF that also qualify as European Long Term Investment Fund and are marketed under Article 31(2) of Regulation (EU) 2015/760 in conjunction with Article 32(2) of Directive 2011/61/EU. [↑](#footnote-ref-1)