

APPORTIONMENT OF FINANSTILSYNSET'S EXPENDITURE 2016 - LEVIES ON SUPERVISION

| Supervised entities | Calculation Basis | Number of entities incl. branches | Share of Finanstilsynsets expenses in 2016 NOK | Share in percent | Limit for calcul. min amount in 1000 NOK calculation basis under or equal to: | Limit for calc. max. Amount in 1000 NOK calculation basis over: | Percent of calculation basis 7) | Minimum levy to pay in NOK | Maximum levy to pay in NOK |
|---------------------------------------------|-----------------------------------------------------------|-----------------------------------|------------------------------------------------|------------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------|---------------------------------|----------------------------|----------------------------|
| BANKS | Total Assets | 135 | 113 247 000 | 30,42 % | 2 700 000 | 600 000 000 | 0,0027 % | 69 000 | 45 000 000 |
| HOLDING COMPANIES | | | | | | | | | |
| Holding Companies in Financial Groups | Total Assets | 15 | 4 780 000 | 1,28 % | 11 600 000 | 550 000 000 | 0,0002 % | 15 000 | 2 400 000 |
| INSURANCE | | | | | | | | | |
| Life Insurance | Premium income | 19 | 40 321 000 | 10,83 % | 200 000 | 19 000 000 | 0,0450 % | 38 000 | 12 300 000 |
| Non-life insurance etc. | Premium income | 81 | 28 472 000 | 7,65 % | 22 500 | 13 000 000 | 0,0479 % | 10 000 | 7 500 000 |
| Insurance mediation | Income from licensed activities | 104 | 3 967 000 | 1,07 % | 7 700 | 300 000 | 0,1481 % | 14 000 | 730 000 |
| PENSION FUNDS AND SCHEMES | | | | | | | | | |
| Pension Schemes | Total Assets | 4 | 40 000 | 0,01 % | - | - | 0,4070 % | - | - |
| Pension Funds | Total Assets | 87 | 11 966 000 | 3,21 % | 400 000 | 30 000 000 | 0,0041 % | 16 000 | 2 100 000 |
| FINANCING INSTITUTIONS | | | | | | | | | |
| Finance companies | Total Assets | 47 | 3 962 000 | 1,06 % | 170 000 | 28 000 000 | 0,0033 % | 6 000 | 1 000 000 |
| Mortgage companies | Total Assets | 36 | 4 372 000 | 1,17 % | 4 600 000 | 460 000 000 | 0,0002 % | 10 000 | 1 400 000 |
| AUDIT FIRMS | Income from licensed activities | 638 | 24 192 000 | 6,50 % | 1 180 | | 0,3371 % | 4 000 | |
| ACCOUNTANTS | Equal share per entity | 11 182 | 18 019 000 | 4,84 % | | | | 1 612 | 1 612 |
| CAPITAL MARKETS | | | | | | | | | |
| Investment firms | Income from licensed activities and ancillary services | 126 | 37 724 000 | 10,13 % | 16 100 | 3 000 000 | 0,3422 % | 50 000 | 9 000 000 |
| Fund Management Companies | 1) Income from licensed activities and ancillary services | 32 | 11 300 000 | 3,04 % | 11 000 | - | 0,1884 % | 25 000 | - |
| AIFM (alternative investment fund managers) | 2) Income from licensed activities and ancillary services | 104 | 12 644 000 | 3,40 % | 1 700 | 100 000 | 0,8831 % | 25 000 | 1 000 000 |
| INFRASTRUCTURE | 3) Income from licensed activities | 10 | 8 010 000 | 2,15 % | 20 000 | 250 000 | 0,8432 % | 200 000 | 2 100 000 |
| ISSUERS | 4) Equal share per entity | 261 | 30 801 000 | 8,27 % | | | | 24 475 | 101 898 |
| ESTATE AGENCY | | | | | | | | | |
| Estate agents | Income from licensed activities | 503 | 8 283 000 | 2,23 % | 2 410 | 500 000 | 0,1012 % | 2 400 | 1 000 000 |
| Lawyers with estate agency license | Equal share per entity | 1 165 | 1 837 000 | 0,49 % | | | | 1 577 | 1 577 |
| DEBT COLLECTION | | | | | | | | | |
| Debt collection firms | 5) Income from licensed activities | 105 | 6 124 000 | 1,65 % | 5 100 | 540 000 | 0,1962 % | 10 000 | 1 100 000 |
| OTHERS | | | | | | | | | |
| Foundations | Total Assets | 30 | 273 000 | 0,07 % | 1 000 000 | 31 000 000 | 0,0004 % | 5 000 | 70 000 |
| Samvirkelagenes Garantifond | Total Assets | 1 | 40 000 | 0,01 % | | | | | |
| Payment institutions/e-money institutions | 6) Income from licensed activities | 21 | 1 865 000 | 0,50 % | 1 500 | 60 000 | 1,4940 % | 30 000 | 800 000 |
| SUM | | 14 706 | 372 239 000 | 100,00 % | | | | | |

1) Fund management companies were previously billed according to total assets, see changes in the levy regulations .

2) AIFMs were previously charged equal shares per entity, see amendments in the levy regulations.

3) Market infrastructure encompasses central securities depositories, clearing houses, stock exchanges and other regulated markets.

4) Issuers with respect to the IFRS oversight and control of prospectuses. The levy will be reduced by NOK 9 708 515 for fees paid for control of Prospectuses

5) Debt collection firms: The amount will be reduced by the handling fees of NOK 321 891 paid for debt collection licenses.

6) Payment institutions: licensing fees have already been deducted from the levy.

7) Percentage that the main group of entities shall pay of the calculation basis.